



# U.S. Securities and Exchange Commission

## Division of Enforcement

### Prejudgment Interest Report

#### Charles Grob Prejudgment Interest 10/1/2012 - 11/18/2015

Quarter Range	Annual Rate	Period Rate	Quarter Interest	Principal+Interest
Violation Amount				\$72,500.00
12/01/2012-12/31/2012	3%	0.25%	\$184.22	\$72,684.22
01/01/2013-03/31/2013	3%	0.74%	\$537.66	\$73,221.88
04/01/2013-06/30/2013	3%	0.75%	\$547.66	\$73,769.54
07/01/2013-09/30/2013	3%	0.76%	\$557.82	\$74,327.36
10/01/2013-12/31/2013	3%	0.76%	\$562.04	\$74,889.40
01/01/2014-03/31/2014	3%	0.74%	\$553.98	\$75,443.38
04/01/2014-06/30/2014	3%	0.75%	\$564.28	\$76,007.66
07/01/2014-09/30/2014	3%	0.76%	\$574.74	\$76,582.40
10/01/2014-12/31/2014	3%	0.76%	\$579.09	\$77,161.49
01/01/2015-03/31/2015	3%	0.74%	\$570.78	\$77,732.27
04/01/2015-06/30/2015	3%	0.75%	\$581.39	\$78,313.66
07/01/2015-09/30/2015	3%	0.76%	\$592.18	\$78,905.84
10/01/2015-10/31/2015	3%	0.25%	\$201.05	\$79,106.89
<b>Prejudgment Violation Range</b>			<b>Quarter Interest Total</b>	<b>Prejudgment Total</b>
<b>12/01/2012-10/31/2015</b>			<b>\$6,606.89</b>	<b>\$79,106.89</b>

EXHIBIT C